# **Spinocerebellar Ataxia Type 8: Molecular Genetic Comparisons and Haplotype Analysis of 37 Families with Ataxia**

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**We reported elsewhere that an untranslated CTG expansion causes the dominantly inherited neurodegenerative disorder spinocerebellar ataxia type 8 (SCA8). SCA8 shows a complex inheritance pattern with extremes of incomplete penetrance, in which often only one or two affected individuals are found in a given family. SCA8 expansions have also been found in control chromosomes, indicating that separate genetic or environmental factors increase disease penetrance among SCA8-expansion–carrying patients with ataxia. We describe the molecular genetic features and disease penetrance of 37 different families with SCA8 ataxia from the United States, Canada, Japan, and Mexico. Haplotype analysis using 17 STR markers spanning an** ∼**1-Mb region was performed on the families with ataxia, on a group of expansion carriers in the general population, and on psychiatric patients, to clarify the genetic basis of the reduced penetrance and to investigate whether CTG expansions among different populations** share a common ancestral background. Two major ancestrally related haplotypes (A and A') were found among **white families with ataxia, normal controls, and patients with major psychosis, indicating a common ancestral origin of both pathogenic and nonpathogenic SCA8 expansions among whites. Two additional and distinct haplotypes were found among a group of Japanese families with ataxia (haplotype B) and a Mexican family with ataxia (haplotype C). Our finding that SCA8 expansions on three independently arising haplotypes are found among patients with ataxia and cosegregate with ataxia when multiple family members are affected further supports the direct role of the CTG expansion in disease pathogenesis.**

# **Introduction**

Repeat-expansion mutations cause 17 inherited neurological disorders, including fragile-X syndrome (MIM 309550), myotonic dystrophy types 1 and 2 (DM1 [MIM 160900] and DM2 [MIM 602668]), Huntington disease (MIM 143100), and 9 forms of spinocerebellar ataxia (SCAs) (Warren 1996; Zoghbi and Orr 2000; Ranum and Day 2002). Initially, it was thought that expansion mutations caused disease either by decreasing gene expression, as in the case of fragile-X syndrome

(Jin and Warren 2000), or by altering the protein coding portion of the gene product, as in the majority of known SCA mutations caused by CAG trinucleotide expansions encoding elongated polyglutamine tracts (Orr 2001). Recently, a growing number of inherited neurological diseases have been shown to be caused by expansions that are transcribed into RNA but not translated into protein, including SCA8 (MIM 603680), SCA10 (MIM 603516), SCA12 (MIM 604326), DM1, DM2, and FXTAS (MIM 309550) (Holmes et al. 1999, 2001; Koob et al. 1999; Matsuura et al. 2000; Hagerman et al. 2001; Liquori et al. 2001; Jacquemont et al. 2003).

SCA type 8 (SCA8) is an inherited neurodegenerative disorder caused by a CTG trinucleotide repeat expansion in a noncoding gene of unknown function. Using our RAPID cloning method, we isolated the SCA8 CTG expansion directly from the DNA of a single patient with ataxia (Koob et al. 1998, 1999). Unlike positional cloning approaches, RAPID cloning does not require

Received January 20, 2004; accepted for publication April 5, 2004; electronically published May 19, 2004.

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**Figure 1** Histograms of the combined CTA/CTG repeat sizes in SCA8 expansion chromosomes of families with ataxia, normal controls, and patients with major psychosis. A vertical bar shows an allele with the CTG expansion. *A,* Histograms of alleles with the expanded repeats (174) in affected patients and unaffected carriers from the MN-A family and from other small families with SCA8. The number of expanded alleles in each group is shown. The carrier with 143 repeats (marked by an asterisk [\*]) is clinically unaffected; however, significant cerebellar atrophy was seen on MRI. *B,* Histograms of expanded repeats in chromosomes of normal controls and patients with major psychosis.

prior linkage data, large pedigrees, or highly penetrant inheritance patterns. The SCA8 expansion was found subsequently in additional families with ataxia, including the seven-generation MN-A family that we described elsewhere (Koob et al. 1999; Day et al. 2000). Affected individuals show slowly progressive, relatively pure cerebellar symptoms such as gait and limb ataxia, ataxic dysarthria, and nystagmus, with variable ages at onset. The CTG expansion is unusual because it was the first untranslated repeat expansion thought to cause ataxia by a gain-of-function RNA mechanism and because it shows dramatic genetic instability and reduced disease penetrance (Koob et al. 1999; Day et al. 2000; Ikeda et al. 2000; Moseley et al. 2000*a*, 2000*b*; Cellini et al. 2002; Topisirovic et al. 2002). Surprisingly, SCA8 expansions have also been found on control chromosomes, leading to the suggestion that the SCA8 expansion in our MN-A family, reported elsewhere  $(LOD = 6.8, \theta = .0)$  (Koob et al. 1999; Day et al. 2000), may be in linkage disequilibrium with a neighboring disease-causing mutation and that the expansion is a coincidental background finding in the other families with ataxia and SCA8 expansions (Juvonen et al. 2000; Moseley et al. 2000*a*; Stevanin et al. 2000; Vincent et al. 2000*a*, 2000*b*; Worth et al. 2000; Sobrido et al. 2001; Izumi et al. 2003; Schols et al. 2003). To clarify the genetics of SCA8, we performed molecular genetic

comparisons of a large number of SCA8 expansion carriers, including the large MN-A family and 36 smaller families with ataxia that are SCA8 positive. Haplotype analysis was performed to determine if the expansions on ataxia chromosomes arose independently of expansions on control chromosomes and to examine whether a mutation in *cis* could cause ataxia or modify SCA8 disease penetrance.

#### **Material and Methods**

### *Study Subjects*

We identified 37 families with SCA8 ataxia: 32 families are white and live in the United States or Canada (31 of European and 1 of Central Asian descent), 4 families are from Japan, and 1 family is from Mexico. We obtained informed consent, performed neurological exams, and collected blood samples from 245 individuals, including affected patients ( $n = 63$ ) and their relatives.

Also analyzed were 13 SCA8-expansion–positive DNA samples sent to Athena Diagnostics for ataxia testing. These samples had no clinical or family identifiers and may include both patients with ataxia as well as unaffected individuals sent for diagnostic testing. In addition, we studied 7 unrelated and apparently unaffected individuals (including members of CEPH families 1334 and 1416) and 14 patients, reported elsewhere, with major psychosis and SCA8 expansions (Day et al. 2000; Vincent et al. 2000*b*). In these two groups without ataxia,  $\geq$ 74 combined CTA/CTG repeats (74 is the smallest expansion number found in a patient with ataxia) is the threshold for inclusion in the study. The term "reduced penetrance" that is used throughout this article refers to the lack of clinical symptoms of ataxia among unaffected expansion carriers with affected relatives and among expansion carriers in the general population with no family history of ataxia.

# *Genetic Analysis*

Genomic DNA was isolated from venous blood, with the use of Puregene kit #D-5000 (Gentra Systems). The number of combined CTA/CTG repeats at the SCA8 locus was determined by PCR, as described elsewhere (Koob et al. 1999). Subjects who appeared homozygous by PCR were screened subsequently by Southern blot analysis to detect expansions too large to be amplified. The combined CTA/CTG repeat lengths of very large expansions were estimated by Southern blot analysis. The number of CTA repeats preceding the CTG expansion was determined by sequence analysis in 13 families, as described elsewhere (Moseley et al. 2000*b*).

An *AflII* polymorphism located 90 bp 3' of the CTG repeat expansion was discovered in the MN-A family by sequence analysis; it was assayed subsequently by performing the SCA8 PCR (described above) and subjecting the PCR products to *Afl*II digestion (New England Biolabs). Products containing the *Afl*II polymorphism fail to digest.

# *Development of STR Markers in the SCA8 Region*

Di-, tri-, tetra-, and penta-nucleotide repeats exceeding 8 units were identified and developed as candidate polymorphic markers on the basis of the sequence information available from the UCSC genome browser and NCBI databases (Genome Database). PCR primers flanking STR sequences were designed with the use of Primer3. The 5' end of each forward primer was labeled with  $[\gamma^{-33}P]$  ATP by T4 polynucleotide kinase (New England Biolabs). PCR reactions were as follows: 20–50 ng of genomic DNA was mixed in a  $5.5$ - $\mu$ l reaction mixture containing 2 pmol of each primer, 10 mM of Tris-HCl (pH 9.0), 50 mM of KCl, 0.1% Triton X-100, 0.01% (weight/volume) gelatin, 200 mM of deoxynucleotide triphosphates, and 0.1 U of Ampli*Taq* DNA polymerase (Applied Biosystems), with variable concentrations of  $MgCl<sub>2</sub>$  for each marker (see table A1 [online only]). For all markers except D13S318, the PCR reaction was denatured at 94°C for 3 min, followed by 35 cycles (94°C for 45 s, 51°C/54°C/57°C for 45 s, 72°C for 60 s) with a final extension at 72°C for 6 min. For D13S318, the

PCR reaction was denatured at 94°C for 3 min, followed by 35 cycles (94°C for 45 s, 55°C for 75 s, 72°C for 75 s) with a final extension at 72°C for 6 min. PCR primers, as well as specific annealing temperatures and  $MgCl<sub>2</sub>$ concentrations, are described in table A1 (online only). The PCR products were run on 4% denaturing polyacrylamide gels at 65 watts and were visualized by autoradiography. Allele sizes for each marker are given in bp and were determined by comparison with an M13 sequencing ladder.

SCA8 expansion haplotypes were established by determining which allele cosegregated with the SCA8 expansion in each family. The haplotypes of 38 control chromosomes were constructed by determining the alleles that were passed from unrelated spouses to their offspring. In the event that the associated allele for a marker could not be determined unequivocally, both alleles are given.

Haplotypes are presented from centromere to telomere, on the basis of the map position of the markers, as follows: *D13S275-YI18-YI17-YI15-D13S318-YI14- CL2-D13S1296-CL4-CL6-(CTG)n-CL8-CL1-JJ9-JJ10- JJ12-JJ11-D13S135.* Alleles are designated by their size in bp; the SCA8 combined CTA/CTG repeats and isolated CTA repeat tracts are given by the number of repeats. Allele frequencies for each microsatellite marker, calculated from the genotypes of 82 unrelated SCA8 negative control chromosomes, are shown in table 1.

#### *Statistical Analysis*

Statistical differences in repeat size among the various clinical groups with SCA8 expansions were calculated by Student's *t*-test (one-tailed). Comparisons of the frequencies of SCA8 expansions in controls with those in patient groups were performed by  $x^2$  analysis. Analysis of the segregation of the SCA8 expansion among additional affected members (excluding affected probands) of the families with ataxia was performed by  $\chi^2$  analysis. Linkage analysis on the small families with ataxia was performed by LINKAGE (v5.1) (Weeks et al. 1995), as described elsewhere (Koob et al. 1999), with the modification that the asymptomatic carriers were assigned a liability class with an expected penetrance of 10%.

#### **Results**

# *Size of Pathogenic and Nonpathogenic SCA8 Expansions*

Figure 1 shows the size distributions of SCA8 expansions found in panels of families with ataxia, psychiatric patients, and controls. The repeat sizes found in affected members of a large family with SCA8 ataxia (MN-A;  $\text{LOD} = 6.8, \theta = .0$  are compared with unaffected MN-A family members that also carry the SCA8 expansion

# **Table 1**

**Allele Frequencies of STR Markers**







(*continued*)

### **Table 1 (continued)**



(fig. 1*A*). Affected MN-A family members have significantly ( $P = 5 \times 10^{-9}$ ) larger expansions (110–127, mean 119) than unaffected MN-A expansion carriers (73–104, mean 90), with the exception of a clinically unaffected 42-year-old individual with 143 repeats whose cerebellum is atrophic on MRI (indicated by an asterisk [\*] in fig. 1*A*) (Day et al. 2003). Although all individuals in the MN-A family with  $>110$  repeats show signs of ataxia or cerebellar atrophy, expansion carriers with  $\langle 110 \rangle$  repeats in the MN-A family (21/35) have shown no signs of ataxia. The tight correlation between repeat size and pathogenesis found in the MN-A family is not found in the broader panel of families with SCA8 ataxia (fig. 1*A*). The reduced penetrance, which appears to be influenced by repeat size for the MN-A family, is much more pronounced in other families with SCA8 ataxia, regardless of repeat length. Among all 37 examined families with ataxia, SCA8 sizes among affected and unaffected expansion carriers can be shorter or longer than the pathogenic threshold found in the MN-A family. These data demonstrate that SCA8 expansions found among patients with ataxia vary dramatically in size and that the presence of an SCA8 expansion cannot be used to predict whether or not an asymptomatic individual will develop ataxia.

#### *SCA8 Expansions in Controls*

SCA8 expansions were also found in control samples, including two CEPH families (1334 and 1416). Among 2,626 unrelated control chromosomes analyzed in Minnesota and Canada, we identified 10 SCA8 alleles  $(0.4\%)$  containing >74 combined CTA/CTG repeats (fig. 1*B*). One of the control expansions was from a grandmother of CEPH family 1416. Medical histories indicate that neither this woman nor her son (54 years old, 800 repeats) are affected by ataxia. All six of the SCA8 expansion carriers in this family (fig. 2*G*) were asymptomatic at the time of clinical evaluation. The expansionpositive individuals in generation III were children when they were evaluated clinically, and, thus, it is not clear if they will be at higher risk of developing ataxia.

Expansions containing  $>74$  combined repeats occurred on 12 (4%) of 292 independent chromosomes in our original collection of probands from genetically undefined families with ataxia. Although the frequency of expansions with  $>74$  combined repeats is significantly higher among unrelated probands with ataxia than in the general population  $(10/2,626$  chromosomes;  $P =$  $4 \times 10^{-25}$ ), the relative frequency of alleles with >74 combined repeats in the general population appears to occur at a higher frequency than all forms of ataxia (∼1/ 10,000). Taken together, these data suggest that the CTG repeat can cause ataxia but that environmental or genetic modifiers, including repeat length, affect disease penetrance. SCA8 alleles with  $>74$  repeats among patients diagnosed with major psychosis, which were reported elsewhere, are also shown (Vincent et al. 2000*a*) (fig. 1*B*).

#### *Reduced Penetrance in Families with SCA8 Expansions*

SCA8 is transmitted in an autosomal dominant pattern with reduced penetrance in the MN-A family, with one copy of the mutation found in affected individuals. In other families, SCA8 shows a complex inheritance pattern in which only a subset of expansion carriers from a given family are affected. Representative pedigrees included in this study are shown in figure 2. The families shown in figure 2*A* and 2*B* appear to transmit ataxia in a dominant pattern with affected individuals in multiple generations. In figure 2*C* and 2*D*, multiple affected individuals were found in a single generation, whereas the families represented in figure 2*E* and 2*F* have only single affected individuals.

In contrast to the relatively large number of affected patients in the MN-A family  $(n = 13)$ , 25 of the remaining 36 families with ataxia had only a single affected individual, 9 families had two affected individuals, and only 2 families had three affected individuals. Although only a subset of the expansion carriers in the MN-A family develop ataxia (13/35), these data illus-



**Figure 2** SCA8 pedigrees with varying degrees of disease penetrance. The pedigrees have been altered to preserve confidentiality. Symbols for individuals affected by ataxia are blackened, and unaffected expansion carriers are indicated by symbols with a dot inside them. A diagonal line through a symbol denotes an individual who is deceased. The numbers of the combined CTA/CTG repeat tracts for the expanded and unexpanded alleles are shown below the symbol. Representative pedigrees show affected individuals in multiple generations of a branch of the C1 (MN-A) *(pedigree A)* and C14 *(pedigree B)* families, affected individuals in a single generation of the C24 *(pedigree C)* and C9 *(pedigree D)* families, single affected individuals in the C23 *(pedigree E)* and C12 *(pedigree F)* families, and no affected individuals in the CEPH1416 family *(pedigree G)*. Individuals indicated with an asterisk (\*) are negative for CTG expansion by Southern analysis. Reduced penetrance is observed in all of these families.

trate that the penetrance of ataxia appears to be significantly higher in the MN-A pedigree than in the group of 36 smaller families with ataxia that are included in our study.

# *SCA8 Expansions Cosegregate with Ataxia in Small Families*

In the MN-A family, other studies have shown that the cosegregation of the SCA8 expansion and ataxia is highly significant (LOD = 6.8,  $\theta$  = .00). To distinguish the possibility that the SCA8 expansions are found simply by chance in the 36 additional smaller families with ataxia from the possibility that the expansions do in fact predispose carriers to ataxia, we examined the incidence of the expansion cosegregating with ataxia in family members other than the probands. If, for example, the expansion did not predispose patients to ataxia but was found merely by chance in these 36 families, then we would expect that a 50% frequency of the SCA8 expansion would be found in additional affected firstdegree relatives. In contrast, 12 of the 13 affected firstdegree relatives available for analysis also inherited the SCA8 expansion, indicating that the expansion cosegregates with ataxia in these small families  $(P = .0038)$ . The only exception was found in a family (C10, fig. 3*A*) in which two sisters were affected with a form of ataxia clinically distinct from SCA8 by being a markedly more severe disease with rapid disease progression, pronounced choreiform movements, a severe sensory neuronopathy, and neuromyotonic discharges seen by electromyography. Because the striking phenotypic distinctions suggest that a separate disease is segregating in the C10 family, the family was excluded from further analysis. Linkage analysis was performed on the remaining small families with multiple affected individuals. Table 2 shows the results of the linkage analysis of these 10 families with ataxia. Although the highest LOD score for a single family is only 0.34 at a recombination fraction  $(\theta)$  of .00, the LOD scores were consistently positive and, when combined, exceeded the threshold level of 2.0, considered significant for testing linkage of an X-linked disorder or linkage to a single specific locus (Ott 1991). The cosegregation of the SCA8 expansion among additional affected relatives in the group of small families with ataxia indicates that the SCA8 expansion directly predisposes individuals to developing ataxia.

# *Haplotype Analysis of SCA8 Expansions*

To better understand the origin of the SCA8 expansion and the reduced penetrance of the disease, we performed haplotype analysis on a panel of 37 families with SCA8 ataxia, 13 SCA8-expansion–positive samples that were sent to Athena Diagnostics for ataxia testing, 7 control samples with expansions, and 14 expansion carriers with psychiatric diseases. A total of 17 polymorphic STR markers were analyzed, including 13 newly developed markers that span a region of ∼1 Mb flanking the SCA8 CTG repeat. Figure 4 shows the location of the STR markers relative to the SCA8 CTG-repeat tract and the genomic organization of the *SCA8* gene and the overlapping *Kelch Like 1* (*KLHL1)* gene.

# *SCA8 Expansions in Whites Arose from a Common Founder*

The majority of SCA8 expansion families, in the groups with and without ataxia, are white. Most of the chromosomes carrying SCA8 expansions in white families with ataxia, in Athena samples, in psychiatric patients, and in control subjects have haplotype A or A' (fig. 3*A*). The core haplotype region defined by 10 consecutive markers flanking the CTG repeat (*YI17-YI15- D13S318-YI14-CL2-D13S1296-CL4-CL6-(CTG)*exp- $CL8-CL1$ ) is nearly identical on haplotypes A and A', with differences at the D13S1296 and YI15 markers likely explained by microsatellite instability. The differences in the flanking regions of haplotype A and A' are likely to have resulted from ancestral recombination events at markers YI18 and JJ9, located 284 kb centromeric and 17 kb telomeric from the CTG repeat, respectively. Possible ancestral recombination and instability events accounting for the various related haplotypes are diagrammed in figure 3*B*. The haplotype data demonstrate that the majority of SCA8 expansions in white families with and without ataxia arose from a common ancestral mutation, and that these families share a region of ∼290 kb flanking the SCA8 CTG repeat tract. As in other white families, the Central Asian family with SCA8 ataxia (C17; see fig. 3*A*) carried haplotype A with minor variations at two outer markers.

# *Two Additional Haplotypes Found Among Japanese and Mexican Families with Ataxia*

Four unrelated Japanese families with ataxia have an SCA8 expansion haplotype (B) distinct from the two predominant haplotypes in whites (J1–J4; see fig. 3*A*). Two chromosomes from whites with ataxia (family C32 and the C13B chromosome of a patient homozygous for SCA8) have haplotypes similar to haplotype B, with variations likely the result of recombination events at markers flanking the CTG expansion. A third distinct haplotype (C) was found in a Mexican family with ataxia (M1; see fig. 3*A*). These results indicate that independently arising SCA8 expansions are found in families of various ethnic backgrounds who are affected by ataxia.

# *SCA8 Expansion Haplotypes Relatively Rare in the General Population*

To determine if the SCA8 expansion haplotypes were common in the general population, 38 control chromosomes were screened with the use of four consecutive markers flanking the CTG repeat (CL4-CL6-(CTG)<sub>n</sub>-*CL8-CL1*). A total of 26 distinct haplotypes containing these four core markers were found. The most common haplotype, found on five chromosomes (13.2%), was 167-145-*(CTG)n*-244-156. None of the control chromosomes had haplotypes identical to the core region identified in the major ataxia haplotypes A and A' in whites (165-147-*(CTG)<sub>n</sub>*-246-156). Two control chromosomes (5.3%) had the same core region of haplotype B (168-145-*(CTG)<sub>n</sub>*-245-160), and no chromosomes had the same core region of haplotype C.

# *Clinical Features of Families with SCA8 with Different Haplotypes*

The clinical features of the families with SCA8 (grouped by haplotype) are shown in table 3. No obvious phenotypic differences are noted between haplotype groups. The clinical features of the MN-A family (haplotype A, fig. 3*A*) are shown separately because of the large number of affected subjects. Regardless of haplotype group, patients with SCA8 ataxia are characterized by high frequencies of gait ataxia, ataxic dysarthria, limb dysmetria, and gaze-evoked nystagmus, indicating pancerebellar involvement. Pyramidal tract signs and reduced vibratory sense are observed less frequently. Other neurological signs are rare or absent. The clinical features of the families with SCA8 can be summarized as relatively pure cerebellar ataxia. The disease progression is typically very slow, even when onset of ataxia was during the 1st decade of life. When available, MRI scans invariably showed pancerebellar atrophy without brainstem or cerebral atrophy.







#### Group I: Haplotype analysis of 37 SCA8 families



C: Caucasian SCA8 families

C13A/C13B and C26A/C26B represent haplotypes of the two different expansion chromosomes in these homozygous individuals.

J: Japanese SCA8 families

M: Mexican SCA8 family

# Group II: Haplotype analysis of 13 diagnostic ataxia samples



**Figure 3** Results of haplotype analyses. *A,* Haplotype analysis of several SCA8-expansion–positive families with and without ataxia. The markers in this figure are shown in order of their physical distance from the CTG repeat. *Group I*, Haplotypes of 37 SCA8-positive families in which at least one member has been diagnosed with ataxia. Two predominant and probably related SCA8 expansion haplotypes (A and A') were found in 18 and 13 families, respectively. Six families, including four from Japan, have a clearly distinct second haplotype (B), and a Mexican family shows evidence for a third independent haplotype (C). The consensus haplotype A is shown in yellow. Minor deviations in repeat size of 1 repeat unit flanked by markers with conserved allele sizes are indicated by alternative colors (*color key at bottom*). Recombinant





#### Group IV: Haplotype analysis of 14 major psychosis patients



regions that are not conserved among families are shown in white. Two families (C13 and C26) presented with homozygous expansion-positive patients, and the separate SCA8 haplotypes for these families are indicated. The microsatellite marker name, its repeat motif, and its distance from the SCA8 CTG repeat expansion are shown (*top*). The size range of the combined CTA/CTG repeat expansions in the family (or, in some cases, in a single expansion carrier) are shown. *Group II,* SCA8 expansion haplotypes of 13 samples sent to Athena Diagnostics for testing are either haplotype A or A', except for a single subject (AD13) with haplotype B. *Group III*, Haplotypes of seven normal control families, including two CEPH families, with SCA8 expansions. Four of these families had haplotype A, three had haplotype A'. *Group IV*, Haplotypes of 14 patients with major psychosis and CTG expansions are either haplotype A (*n* = 8) or haplotype A' (*n* = 6). *B*, Proposed ancestral origin of SCA8 haplotypes. Proposed ancestral relationship between the major haplotype variants is shown by a small number of ancestral recombination and microsatellite instability events.



Figure 4 Map of newly developed polymorphic markers in the SCA8 region. Genomic DNA is represented by a horizontal line, and double slashes (//) represent regions in which the map is not drawn to scale. Of the 17 microsatellite markers that were genotyped, 13 were newly developed. The markers and their relative map positions are indicated with their distance from the SCA8 CTG repeat given in kb. Six exons and the promoter region of the *SCA8* gene and exons 1, 5, and 11 of the *KLHL1* gene are indicated by boxes. The CTG repeat exists in exon A of the *SCA8* gene. SCA8 transcript is transcribed in antisense orientation to KLHL1 transcription through exon 1 of KLHL1. Internal scale bar is indicated as 20 kb.

# *Variations on the MN-A and Other SCA8 Haplotypes*

To further investigate possible differences in the MN-A haplotype that may account for the relatively high penetrance found in that family, we analyzed haplotype differences and sequence variations that occurred in the MN-A family but not in the other families with SCA8 ataxia. In the MN-A family (C1; see fig. 3*A*), consecutive allele variations in five markers from D13S318 to D13S275 suggest that the haplotype in the MN-A family diverged from haplotype A through an ancestral recombination event between the D13S318 and YI14 markers. This recombination event may have resulted in a *cis*modifier that increases disease penetrance being juxtaposed to the SCA8 expansion. Although similar but separate recombination events also occurred on several other chromosomes with haplotypes  $A'$  and  $B$  (C26B, C30, C31, C32, and C13B), these events did not appear to increase disease penetrance and would be unlikely to have introduced a similar linked modifier. Although our haplotype data indicate that the expansion chromosomes in whites arose from a single or small number of founder chromosomes, significant size variation of the CTA repeat that flanks the CTG expansion is found on haplotype A, with repeats ranging from 3–17 CTAs (table 4). The CTA portion of the repeat tract has been reported elsewhere to be stable within individual families, and, therefore, the CTA repeat numbers listed in table 4 are likely to be the same within individual families (Moseley et al. 2000*b*). The CTA repeat tract in the MN-A family is much smaller than that in any of the other families with SCA8 ataxia that we analyzed and, hence, is another notable genetic difference.

Further evidence that the region immediately flanking the CTG expansion is highly mutable comes from the identification of an SNP that was found in the MN-A family but not in 17 other families with haplotype A or A' that were tested. This SNP is located 90 bp immediately 3' of the CTG expansion and is well within the region of haplotype A conservation. It is possible that but not yet clear whether—these differences play a role in the increased disease penetrance of the MN-A family. A summary of the sequence variations flanking the SCA8 CTG expansion is shown in table 4.

# *Possible Role of Unexpanded Allele as Genetic Modifier of Disease Penetrance*

To examine the possibility that the second predisposing factor could involve the unexpanded SCA8 alleles, we examined the subset of families with affected sib pairs to determine if, in addition to the SCA8 expansion, they also inherited the same unexpanded allele from the second parent. All five sib pairs available for analysis shared the same unexpanded SCA8 allele, showing a marginally significant result  $(P = .025)$ .

# *Homozygous Expansion Carriers*

Five patients from three different families with ataxia were homozygous for the SCA8 expansion with  $>74$ CTA/CTG repeats. Two of these patients were reported elsewhere as members of a consanguineous branch of the MN-A family. The other homozygous patients were members of families C13 and C26. The C13 family has haplotypes A and B, whereas the C26 homozygote has one consensus haplotype A' with the second chromosome carrying a version of haplotype A' with a divergent centromeric end likely representing a recombination event (C26A and C26B, respectively). Clinical infor-

# **Table 3**

**Clinical Features of SCA8 Families with Different Haplotypes**

| <b>CLINICAL FEATURE</b>   | % AFFECTED IN HAPLOTYPE GROUP (Abnl/n) <sup>a</sup> |                 |              |             |            |
|---------------------------|---|-----------------|--------------|-------------|------------|
|                           | MN-A Family   | Haplotype $A^b$ | Haplotype A' | Haplotype B | All        |
| Gait ataxia               | 91 (10/11)  | 92 (11/12)      | 75 (3/4)     | 100(7/7)    | 91 (31/34) |
| Limb ataxia               | 91 (10/11)  | 86 (18/21)      | 100(5/5)     | 100(6/6)    | 91 (39/43) |
| Dysarthria                | 100(11/11)  | 85 (17/20)      | 100(4/4)     | 100 (7/7)   | 93 (39/42) |
| Impaired smooth pursuit   | 73 (8/11)   | 76 (19/25)      | 67(4/6)      | 100 (7/7)   | 78 (38/49) |
| Nystagmus                 | 73 (8/11)   | 72 (13/18)      | 83 (5/6)     | 71 (5/7)    | 74 (31/42) |
| Reduced vibratory sense   | 45(5/11)  | 45 (9/20)       | 0(0/6)       | 33(2/6)     | 37 (16/43) |
| Hyperreflexia             | 73 (8/11)   | 40(8/20)        | 67(4/6)      | 29(2/7)     | 50 (22/44) |
| Hyporeflexia              | 0(0/11)   | 15(3/20)        | 0(0/6)       | 14(1/7)     | 9(4/44)    |
| Extensor plantar response | 18(2/11)  | 15(2/13)        | 40(2/5)      | 0(0/7)      | 17(6/36)   |
| Muscle atrophy            | 0(0/11)   | 8(1/12)         | 20(1/5)      | 0(0/7)      | 6(2/35)    |

<sup>a</sup> Abln/n = no. of abnormal individuals/no. of patients with ataxia that were examined.

**b** MN-A family not included.

mation for three of the five homozygous subjects was available and, in each of these cases, the disease symptoms and the clinical course of the disease were similar to affected individuals with a single SCA8 expansion.

# **Discussion**

We reported elsewhere that an untranslated CTG expansion causes SCA8. Although age-dependent reduced penetrance is found in all microsatellite-expansion disorders, the age-independent reduced penetrance of SCA8 is the most difficult genetic feature of the disease to understand. To investigate potential causes of reduced penetrance, we compared the molecular genetic features of 37 different families with SCA8 that were from the United States, Canada, Japan, and Mexico. To determine the role that the expansion and *cis*-acting factors play in predisposing patients to ataxia, high-resolution haplotype analysis was performed on the families with ataxia, as well as on a group of expansion carriers in the general population and on psychiatric patients. High-resolution haplotypes were established by typing 17 microsatellite markers, including 13 new markers we developed that span an ∼1-Mb region flanking the SCA8 CTG expansion.

In contrast to other studies with markers 3.2 Mb and 1 Mb centromeric and 0.9 Mb and 1.8 Mb telomeric from the CTG expansion (Koob et al. 1999; Juvonen et al. 2000) that did not show obvious haplotype conservation, the results of our high-resolution haplotype analyses (fig. 3*A*) show two predominant conserved haplotypes (A and A') among white families with SCA8 ataxia. The core haplotype region defined by the 10 consecutive markers flanking the CTG repeat (*YI17- YI15-D13S318-YI14-CL2-D13S1296-CL4-CL6- [CTG]*exp-*CL8-CL1*) is nearly identical on haplotypes A and A', with differences at the D13S1296 and YI15 markers likely caused by microsatellite instability. In addition, a distinct haplotype (haplotype B) is conserved among four Japanese and two white families with ataxia (C32 and C13B), and a third haplotype (haplotype C) is found in a Mexican family with ataxia. These results demonstrate that at least three independently arising SCA8 expansions are associated with ataxia.

Interestingly, subjects from Athena Diagnostics with CTG expansions (whom we presume were tested because of clinical ataxia, but about whom we have no clinical information), normal controls (including two CEPH families), and patients with major psychosis have the same haplotypes (A and A') found in white families with ataxia, except for a single subject (AD13) from Athena Diagnostics who shows haplotype B. These results indicate that, among whites, CTG expansions in normal controls and patients with major psychosis have the same ancestral origin as the families with SCA8 ataxia. The reason that these expansion-positive controls and patients with major psychosis do not exhibit ataxia is not yet understood.

Evidence that the expansion itself predisposes families to ataxia, independent of *cis*-acting modifiers includes: (1) the high frequency of ataxia among SCA8 expansion carriers versus controls ( $P = 4 \times 10^{-25}$ ); (2) the common clinical phenotype among affected families; (3) the cosegregation of the expansion and ataxia in small families with multiple affected individuals, excluding the MN-A family  $(P = .0038)$ ; and (4) a LOD score of 6.8 at  $\theta = .00$  in the MN-A family and a combined LOD score of 2.02 at  $\theta = .00$  among 10 small families with multiple affected individuals. Because the SCA8 expansion was isolated from a single patient with ataxia by our RAPID cloning method (Koob et al. 1998, 1999) instead of a positional cloning approach that depends on large families, it is not surprising that the genetic characteristics and disease penetrance do not follow the pattern of SCAs defined elsewhere.

The penetrance of the SCA8 expansion is much higher

#### **Table 4**

**Highly Mutable Sequences Flanking the SCA8 CTG Expansion**

| Haplotype,      |                      |                            |              |
|-----------------|----------------------|----------------------------|--------------|
| Ethnic Origin,  | No. of CTA           | No. of CTG                 |              |
| and Family      | Repeats <sup>a</sup> | Repeats                    | $G/A$ SNPa,b |
| A, white:       |                      |                            |              |
| $C1$ (MN-A)     | 3                    | $70 - 140$                 | G            |
| C <sub>2</sub>  | 8                    | $72 - 80$                  | A            |
| C <sub>3</sub>  | 8                    | $72 - 107$                 | A            |
| C8              | 17                   | $54 - 71$                  | A            |
| C9              | ND                   | $208 - 750$ °              | A            |
| C10             | 12                   | 118~723                    | A            |
| C11             | 9                    | $92 - 109$                 | A            |
| C12             | 12                   | $78 - 131$                 | A            |
| C13A            | ND                   | $97 - 120$ °               | A            |
| C14             | 12                   | $81 - 114$                 | A            |
| C15             | ND                   | 91 <sup>c</sup>            | A            |
| C16             | ND                   | 169 <sup>c</sup>           | А            |
| C22             | ND                   | $845 - 945$ °              | A            |
| C <sub>23</sub> | ND                   | $130 - 1,110$ <sup>c</sup> | А            |
| A', white:      |                      |                            |              |
| C <sub>24</sub> | 9                    | $168 - 254$                | А            |
| C26A/B          | <b>ND</b>            | $101 - 950$ <sup>c</sup>   | A            |
| C <sub>29</sub> | ND                   | 75 <sup>c</sup>            | A            |
| C30             | ND                   | 150 <sup>c</sup>           | A            |
| B, Japanese:    |                      |                            |              |
| $\mathsf{I}1$   | 9                    | $80 - 96$                  | ND           |
| J <sub>2</sub>  | 8                    | $87 - 91$                  | ND           |
| 13              | 8                    | 147                        | ND           |
| I <sub>4</sub>  | 8                    | $87 - 128$                 | ND           |
| B, white:       |                      |                            |              |
| C13B            | ND                   | $97 - 120$ <sup>c</sup>    | А            |
| C, Mexican:     |                      |                            |              |
| M1              | ND                   | 100 <sup>c</sup>           | ND           |

 $N<sup>a</sup>$  ND = not determined.

<sup>b</sup> G/A SNP identified 90 bp 3' of the CTG expansion in the MN-A family.

<sup>c</sup> Number of CTA repeats is unknown; therefore, values represent the combined number of CTA/CTG repeats.

in the MN-A family than in the other families with ataxia that we describe here or that have been reported elsewhere in the literature (Mosemiller et al. 2003). In contrast to the relatively large number of affected patients in the MN-A family ( $n = 13$ ), 25 (~70%) of the small families with ataxia in our study had only a single affected individual, 9 families had two, and only 2 families had three. Although the expansion in the MN-A family arose from the same founder as the other white families with an SCA8 expansion of haplotype A, several genetic differences between the MN-A family and the small families with ataxia may contribute to the increased disease penetrance in the MN-A family.

First, the affected chromosome in the MN-A family (C1) has haplotype A, with a superimposed centromeric recombination event that occurred between the D13S318 and YI14 markers. It is possible that this recombination event, which occurred 112–137 kb 5' of

the CTG repeat or ∼70 kb upstream of the suggested promoter region of SCA8 (Benzow and Koob 2002), could account for the relatively high disease penetrance in the MN-A family. Although it appears that this recombination event would be too far upstream to act as an enhancer for the currently defined promoter, it is possible that alternative upstream promoter elements have not yet been identified and that the presence of this alternative chromosomal region 5' of the SCA8 transcript could increase SCA8 transcriptional activity. Altered SCA8 expression may increase the toxic effects of the resultant CUG-containing transcripts, resulting in the relatively high penetrance of the disease in the MN-A family. Substantial evidence supporting a model of RNA pathogenesis has accumulated in the myotonic dystrophy field, in which dominant effects of CUG and CCUG repeat-containing transcripts have been shown to be the primary disease mechanism (Philips et al. 1998; Mankodi et al. 2000; Tapscott 2000; Liquori et al. 2001; Tapscott and Thornton 2001; Kanadia et al. 2003). A similar disease mechanism may be responsible for SCA8. Alternatively, the recombinant chromosomal region could harbor other genetic modifiers, including those of the overlapping *KLHL1* gene (Koob et al. 1999; Nemes et al. 2000).

Additional genetic variations in the MN-A family that are present within the conserved portion of the haplotype A include: the SNP located 90 bp 3' of the CTG, the relatively short CTA repeat tract containing only 3 repeats, and interruptions within the CTG portion of the repeat (Moseley et al. 2000*b*). It is not yet clear whether the  $5'$  recombination event, or the other sequence variations we have identified, increase disease penetrance in the MN-A family. However, the sequence variations we have observed, in addition to changes in overall repeat length, indicate that both the CTG repeat tract and the region immediately flanking the CTG expansion are highly mutable.

In addition to possible *cis*-modifiers that our haplotype results suggest play a role in increasing disease penetrance in the MN-A family, we have examined the possible involvement of the unexpanded SCA8 allele as a *trans*-modifier in the other families with SCA8. Among five available affected sib pairs, all five inherited the same unexpanded allele from the other parent  $(P = .025)$ . This result suggests the possibility that a subset of SCA8 alleles not containing the expansion may increase the likelihood that the disease will be expressed. On review of the literature, we found six additional sib pairs with complete genotype results for the unexpanded SCA8 allele (Juvonen et al. 2000; Silveira et al. 2000; Brusco et al. 2002; Schols et al. 2003). Four of these sib pairs share the same unexpanded allele, and two do not (not significant), if combined with our data  $P = 0.034$ . Additional data will be needed to determine

whether or not this suggestive trend is found in a larger group of patients.

The reduced penetrance commonly seen in families with SCA8 could be the result of modifying genetic factors similar to those reported in other diseases, including amyotrophic lateral sclerosis (ALS). In familial ALS1 (MIM 105400), identical point mutations within the *SOD1* gene result in remarkable variation in disease onset within and between families. Recently, *VEGF* was shown to act as a genetic modifier in patients with sporadic and familial ALS (Lambrechts et al. 2003). Homozygous variations in the *VEGF* promoter reduce circulating VEGF levels and increase the risk of developing ALS (Lambrechts et al. 2003). Similarly, a clarification of modifiers in degenerative ataxias could help us to understand the reduced penetrance of SCA8.

The data presented here provide additional pieces of a complex puzzle, by describing in detail the repeat ranges found in various SCA8 expansion populations. Furthermore, although not all expansions cause ataxia, in families in which multiple members are affected, the expansion cosegregates with the disease in the additional affected relatives ( $P = .0038$ ). Although the majority of the families were too small for linkage analysis, the combined LOD score for all of the clinically similar families with multiple affected individuals was consistent with the SCA8 expansion causing disease  $(LOD = 2.02)$ . In addition, our haplotype and sequence analyses have uncovered several genetic variations in the MN-A family that may play a role in increasing disease penetrance in that family. Finally, the discovery that SCA8 expansions among patients with ataxia arose independently on three different haplotypes suggests that independently arising SCA8 expansions can cause ataxia. Although this additional information helps clarify the genetic complexities of ataxia, further analysis in cell culture and animal models will be needed to understand the molecular mechanisms involved in SCA8 and the reasons for the reduced penetrance.

# **Acknowledgments**

The authors thank the patients and families for their participation in this study. Financial support from the National Ataxia Foundation and the National Institutes of Health (NS40389) is gratefully acknowledged.

# **Electronic-Database Information**

Accession numbers and URLs for data presented herein are as follows:

- Genome Database, http://www.gdb.org
- NCBI, http://www.ncbi.nlm.nih.gov/
- Online Mendelian Inheritance in Man (OMIM), http://www .ncbi.nlm.nih.gov/Omim/
- Primer3, http://www.broad.mit.edu/cgi-bin/primer/primer3 \_www.cgi
- UCSC Genome Browser, http://www.genome.ucsc.edu/

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